



Statement of Organization POLITICAL ACTION COMMITTEE

Type of Statement					
<input type="checkbox"/> NEW This committee is registering with the Virginia State Board of Elections for the first time.	<input checked="" type="checkbox"/> AMENDED This committee is filing an amended Statement of Organization. <table border="1" style="width: 100%; margin-top: 10px;"> <tr> <td style="width: 50%;">Date Changes Took Effect</td> <td style="width: 50%;">SBE-issued Committee ID</td> </tr> <tr> <td style="text-align: center;">05/04/2021</td> <td style="text-align: center;">PAC-20-00039</td> </tr> </table>	Date Changes Took Effect	SBE-issued Committee ID	05/04/2021	PAC-20-00039
Date Changes Took Effect	SBE-issued Committee ID				
05/04/2021	PAC-20-00039				
Name of Committee					
Headwaters Resort Political Action Committee <hr/> Full Name of Committee Headwaters PAC <hr/> Committee Acronym (if applicable) <input type="checkbox"/> Check this box if this committee is established or controlled by a corporation doing business in Virginia					
Committee Mailing Address					
112 Granby Street Suite 400 <hr/> Street Address/P.O. Box Suite # Norfolk VA 23510 <hr/> City State Zip Code rgrogg@kemperconsult.com (757) 627-1988 <hr/> Email Address Business Phone					
Committee Website					
Affiliated Organization or PAC					
<input type="checkbox"/> Check this box if this committee is affiliated with another organization or PAC. If so, provide the following information:					
Full Name of Affiliated Organization					
Street Address/P.O. Box Suite #					
City State Zip Code					
Relationship of this Committee to Affiliated Organization					



Statement of Organization POLITICAL ACTION COMMITTEE

Purpose of Committee

Indicate the purpose of this Committee (please be as specific as possible):

Candidates this Committee Supports or Opposes (skip to next section if supporting a specific party)

Full Name and Address of Candidate	Office Sought	Party Affiliation	Support or Oppose?

(attach additional sheets if more space needed)

Area, Scope and Jurisdiction of the Committee

This Committee intends to participate in elections on the following levels: (check all that apply)

- Statewide elections
- General Assembly elections
- Local elections

If "Local Elections" is checked please list the cities, counties and/or towns the committee intends to be active in:

- | | |
|------------------------|----------|
| 1) <u>Norfolk City</u> | 4) _____ |
| 2) _____ | 5) _____ |
| 3) _____ | 6) _____ |



Statement of Organization POLITICAL ACTION COMMITTEE

Treasurer					
Treasurer Information	Mr.	Grogg	William	Ross	IV
	Salutation	Last Name	First Name	Middle Name	Suffix
	rgrogg@kemperconsult.com			(757) 627-1988	
	Email Address			Daytime Phone #	
Treasurer Residential Address	4702 Stuart Ave				
	Street Address				Apt #
	Richmond		VA		23226
	City		State		Zip Code
Treasurer Business Address	112 Granby Street				Suite 400
	Street Address/P.O. Box				Suite #
	Norfolk		VA		23510
	City		State		Zip Code
Principal Custodian of the Books					
Principal Custodian Information	<input type="checkbox"/> Check this box if the Principal Custodian of the Books is the same person as the Treasurer. If they are the same person, skip this section.				
	Mr.	Grogg	William	Ross	IV
	Salutation	Last Name	First Name	Middle Name	Suffix
	rgrogg@kemperconsult.com			(757) 627-1988	
	Email Address			Daytime Phone #	
	Treasurer				
	Position or Title				
Principal Custodian Residential Address	4702 Stuart Ave				
	Street Address				Apt #
	Richmond		VA		23226
	City		State		Zip Code
Principal Custodian Business Address	112 Granby Street				Suite 400
	Street Address/P.O. Box				Suite #
	Norfolk		VA		23510
	City		State		Zip Code
Additional Officers (optional)					
Additional Officers					
	Full Name		Title		Daytime Phone #
	Full Name		Title		Daytime Phone #



Statement of Organization POLITICAL ACTION COMMITTEE

Committee Depository			
Towne Bank			
Name of Primary Financial Institution		Name of Other Financial Institution (if applicable)	
Norfolk	VA		
City	State	City	State
Address Where Books are Maintained			
Address Where Books are Maintained		112 Granby Street	
		Street Address (P.O. Boxes are not acceptable)	Suite #
		Norfolk	VA
		23510	
		City	State
		Zip Code	
Committee Activity			
<p>Please provide the following dates. (If an action has not yet occurred for this committee, write "N/A")</p> <p>Date contributions exceeded \$200: _____</p> <p>Date expenditures exceeded \$200: _____</p> <p>Date committee depository designated: _____</p> <p>Date treasurer appointed: 06/02/2020 _____</p>			
Filing Method			
<p>Please indicate the method by which this committee will submit all required campaign finance reports:</p> <p><input checked="" type="checkbox"/> File electronically using SBE's VAFiling Application.</p> <p><input type="checkbox"/> File electronically using an SBE Approved Vendor (Please indicate Name of Vendor:) _____</p> <p><input type="checkbox"/> File paper reports. (By choosing this option, I affirm that this committee does not intend to accept contributions or make expenditures in excess of \$10,000 during the calendar year)</p>			
_____ Signature		_____ Date	



Statement of Organization POLITICAL ACTION COMMITTEE

Statement of Treasurer

Member or Employee Contributions not Required: Any stock or nonstock corporation, labor organization, membership organization, cooperative, or other group of persons may establish and administer for political purposes, and solicit and expend contributions for, a political action committee, provided that:

1. No political action committee shall make a contribution or expenditure by utilizing money or anything of value secured by physical force, job discrimination, financial reprisal, threat of force, or as a condition of employment.
2. Any person soliciting a contribution to a political action committee shall, at the time of solicitation, inform the person being solicited of (i) his right to refuse to contribute without any reprisal and (ii) the political purposes of the committee.

Committees Formed Between October 1 and Election Day: 24.2-949.6 & §24.2-949.6 (D) requires any political action committee filing this form on or after October 1 and before the November election day in any odd numbered year (i) to file a campaign finance report for the committees activities within 24 hours of filing its Statement of Organization and (ii) to file reports within 24 hours of receiving any contribution or making an expenditure of \$500 or more during the period between the date of filing its statement of organization and election day.

Use of Candidate Name: Any PAC that intends to use the name of a candidate as part of the name of their PAC must file, along with this form, a copy of:

- the written authorization of the candidate consenting the use of his name; or
- the political committee's notice to the candidate of use of his/her name and evidence that the letter was received. The letter must be sent at least twenty-one days prior to the filing of this form.

If two candidates have the same last name, the political committee shall include the first name, or other initial or nickname, in the name of the political committee to identify which candidate is associated with the political committee.

I accept the appointment of Treasurer for this committee. I understand that I am required to comply with the provisions of the Campaign Finance Disclosure Act (Title 24.2, Chapter 9.3 of the *Code of Virginia*). I understand that I must truthfully report all monies and things of value which this political committee receives or expends in a timely manner. Civil penalties will be assessed in the manner required by the *Code of Virginia* for late or un-filed reports. I also understand that if I provide false information on any document submitted to the State Board of Elections that I may be subject to the provisions of § 24.2-1016 which is punishable by a Class 5 felony.

Signature

Date



Instructions for Completing This Form

- Submit the original, signed copy of this form to SBE at:
**1100 Bank Street
Richmond, VA 23219**
- This form must be written in ink or typed or it will be rejected.
- An amended Statement is required to be filed within 10 days of the change if **any** of the information reported on this form changes.

Type of Statement

- Check the box that applies to the type of Statement that you are filing.

***** 24.2-949.6 & §24.2-949.6 (D) requires any political action committee filing this form on or after October 1 and before the November election day in any odd numbered year (i) to file a campaign finance report for the committees activities within 24 hours of filing its Statement of Organization and (ii) to file reports within 24 hours of receiving any contribution or making an expenditure of \$500 or more during the period between the date of filing its statement of organization and election day.**

Name of Committee

- Please insert the full name of your committee. You may include the acronym but you must spell out all acronyms for you committee.
- Check the box if this committee is established or controlled by a corporation which is headquartered outside of Virginia and is registered with the State Corporation Commission.

Committee Mailing Address

- Insert the committee's primary mailing address.
 - The address must be within the Commonwealth unless the affiliated organization is a business headquartered outside of the Commonwealth, but registered with the Virginia State Corporation Commission.
- Insert the committee's primary business phone, fax and email address.
- Insert the committee's website address (if one).

Affiliated Organization or PAC

- Please provide the name and contact information of the affiliated organization of the PAC.
- Indicate the nature of the relationship between the PAC and the affiliate.

Purpose of the Committee

- Indicate the primary purpose of the committee (e.g. health care, labor).

Candidate's Supported or Opposed

- Indicate any and all candidates the committee intends to support or oppose.

Area, Scope and Jurisdiction of the Committee

- Please choose the designation that applies.

Treasurer and Books Information

- Treasurer
 - Insert the name, email and phone number of the treasurer.



- Insert the residence address of the treasurer.
 - The treasurer must be a resident of the Commonwealth unless the affiliated organization is headquartered outside of the Commonwealth, but registered with the State Corporation Commission.
- Custodian of the Books (if one)
 - You may leave this section blank if the treasurer also serves as the Custodian of the Books.
 - ***Note:** The Custodian of the Books must be a resident of the Commonwealth of Virginia unless the affiliated organization is headquartered outside of the Commonwealth, but registered with the State Corporation Commission.
- Please list the names of any additional officers affiliated with the organization.

Committee Depository

- Insert the name of the committee's primary depository (Bank Name).
- Insert the address of the committee's secondary depository (if one).
 - *Depositories must be in an account located within the Commonwealth unless the affiliated organization is headquartered outside of the Commonwealth, but registered with the State Corporation Commission.

Filing Method

- Indicate whether the committee intends to file all of their campaign finance disclosure reports electronically.
- **Electronic Filing Option**
 - If you choose to file electronically, log into the following Web site address: <https://cf.elections.virginia.gov>
- **Approved Vendor Option**
 - If you choose to contract with a private company, SBE recommends that you use an "Approved Vendor." These companies meet SBE's standards for e-filing. Although you are free to use any company you wish, we cannot guarantee that the company you choose will completely comply with SBE's standards. As a result, your committee may end up paying penalties for incomplete, late or un-filed reports. For a list of "Approved Vendors" please visit our website: http://www.sbe.virginia.gov/cms/Campaign_Finance/

Statement of Treasurer

- Please read and sign the Statement.